



ATTORNEY DOCKET NO.: 2002635-0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEApplicant: Lambert, *et al.*

Examiner:

Serial No.: 09/045,575

Art Unit:

Filing Date: April 30, 2001

Title: METHOD AND SYSTEM FOR ENHANCED WEB PAGE
DELIVERYAssistant Commissioner for Patents
Washington, DC 20231

Sir:

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 CFR 1.9(f) and 1.27(c)
SMALL BUSINESS CONCERN

I hereby declare that I am

☐ the owner of the small business concern identified below:☒ an official empowered to act on behalf of the small business concern identified
below:NAME OF CONCERN: Inceptor, Inc.ADDRESS OF CONCERN: Two Clock Tower Place, Fleet 260, Maynard, MA 01754

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For the purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract of law have been conveyed to and remain with the small business concern identified above with regard to the invention titled:

TITLE: METHOD AND SYSTEM FOR ENHANCED WEB PAGE DELIVERY**INVENTOR(S): John H. Labert, George Semeczko, and Geert Engels**

described in:

- () the specification filed herewith JAH
(X) U.S. Patent Application Serial Number 09/945,575
filed April 30, 2001
() U.S. Patent Number _____
issued _____

If the rights of the above-identified small business concern are not exclusive, each individual, concern, or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor(s), who could not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention, averring to their status as small entities. (37 CFR 1.27).

FULL NAME:

ADDRESS:

() INDIVIDUAL () SMALL BUSINESS CONCERN () NON-PROFIT ORGANIZATION

FULL NAME:

ADDRESS:

() INDIVIDUAL () SMALL BUSINESS CONCERN () NON-PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Lawrence T. Cleary

TITLE IN ORGANIZATION:

Chief Operating Officer

ADDRESS OF PERSON SIGNING

Inceptor, Inc.

Two Clock Tower Place, Fleet 260

Maynard, MA 01754

SIGNATURE: 

DATE: 6/20/2001

John Lambert

+44 171 631 172

P. 12

013

06/28/01 THU 10:34 FAX

TESTA, HURWITZ & THIBEAULT

06/23/01 14:01 FAX 617 248 7100



Attorney Docket Number 2002635-0002

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND SYSTEM FOR ENHANCED WEB PAGE DELIVERY

the specification of which (I authorize Choate, Hall & Stewart to check one of the following, three choices, and fill in the blanks, if applicable):

_____ is attached hereto

_____ was filed on April 30, 2001 as Application Serial No. 09/845,575 and amended on _____ (if applicable). JAH

_____ was filed as PCT international application No. _____ on _____ and was amended under PCT Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledged the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Priority Claimed

(Number)	(Country)	(Day/Month/Year/Filed)	Yes	No

John Lambert

+44 171 631 172

P. 13
014

06/28/01 THU 10:34 FAX

TESTA, HURWITZ & THIBEAULT

06/23/01 14:02 FAX 617 248 7100

014

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>(Application Serial No.)</u>	<u>(filing date)</u>	<u>(status-patented, pending, abandoned)</u>
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PCT Applications designating the United States:

<u>(PCT Appl. No.)</u>	<u>(U.S.S.N.)</u>	<u>(status-patented, pending, abandoned)</u>
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I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national filing date of this application.

Provisional Application(s):

<u>(Application Serial No.)</u>	<u>(filing date)</u>	<u>(status-patented, pending, abandoned)</u>
60/200,205	April 28, 2000	Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

John Lambert

+44 171 631 172

P. 14
015

015

06/28/01 THU 10:34 FAX

08/23/01 14:03 FAX 617 248 7100

TESTA, HURWITZ & THIBEAULT

- 1) Full name of first inventor: John H. Lambert Date: 27th Dec 2001
Inventor's signature: [Signature]
Residence: USA
Citizenship: Belgian
Post Office Address: UPHILL Farm, Farnborough, Dorset, UK
- 2) Full name of second inventor: George Semeczko Date: _____
Inventor's signature: _____
Residence: Framingham, MA
Citizenship: USA
Post Office Address: _____
- 3) Full name of third inventor: Geert Engels Date: _____
Inventor's signature: _____
Residence: _____
Citizenship: _____
Post Office Address: _____

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Attorney Docket Number 2002635-0002

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Prior Foreign Application(s):

Priority Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year/Filed)	_____ Yes	_____ No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year/Filed)	_____ Yes	_____ No

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<u> </u>	<u> </u>	<u> </u>
(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)

PCT Applications designating the United States:

<u> </u>	<u> </u>	<u> </u>
(PCT Appl. No.)	(U.S.S.N.)	(status-patented, pending, abandoned)

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<u>60/200,205</u>	<u>April 28, 2000</u>	<u>Pending</u>
(Application Serial No.)	(filing date)	(status-patented, pending, abandoned)

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1) Full name of first inventor: John H. Lambert

Inventor's signature: _____ Date: _____

Residence: _____

Citizenship: _____

Post Office Address: _____

2) Full name of second inventor: George Semoczko

Inventor's signature: [Signature] Date: 23/08/2001

Residence: Framingham MA

Citizenship: AUSTRALIAN

Post Office Address: 936 CENTRAL ST, FRAMINGHAM, MA, 01701

3) Full name of third inventor: Geert Engels

Inventor's signature: [Signature] Date: 23/08/2001

Residence: UNITED KINGDOM

Citizenship: BELGIUM

Post Office Address: 38 PENDERYNN WAY,

CARLETON ROAD
LONDON N7 6EW

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Attorney Docket Number 2002635-0002

DECLARATION

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<u>(PCT Appl. No.)</u>	<u>(U.S.S.N.)</u>	<u>(status-patented, pending, abandoned)</u>
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1) Full name of first inventor: John H. Lambert

Inventor's signature: _____ Date: _____

Residence: _____

Citizenship: _____

Post Office Address: _____

2) Full name of second inventor: George Semecko

Inventor's signature: _____ Date: _____

Residence: Framingham, MA

Citizenship: USA

Post Office Address: _____

3) Full name of third inventor: Geert Engels

Inventor's signature: [Signature] Date: 23/08/2001

Residence: UNITED KINGDOM

Citizenship: BELGIUM

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